



## IRB ASSISTANT

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### *Invoices*

Software Version: 13.01

Manual Version: P1

Manual Published: 7/26/2021

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## Introduction

This manual describes system features accessed via the **Invoice History** menu item under the **IRB Assistant** menu group for processing of “fee applied” submissions, including invoicing and payment tracking.

When a submission is processed by the IRB the Coordinator can indicate if the submission will be charged a review service fee (see screenshot below).

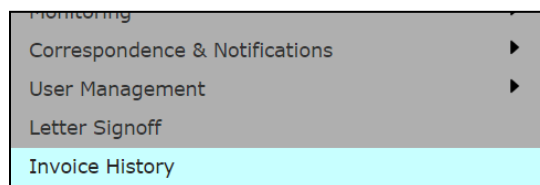
The screenshot displays the 'Initial Review Submission Form' interface. The top navigation bar includes 'My Workspaces', 'IRB', and 'Initial Review Submission Form'. The main content area shows submission details for Ref Number 001251, with a status of 'Pending - Submitted for Initial Review'. A sidebar on the left lists navigation options: 'Submission Details', 'Submission Component(s)', 'Pre-review Screening' (highlighted with a red box), 'Submission Review', 'Submission Outcome', and 'Submission Correspondence'. The main form is divided into several sections: 'Submission Review Status' (with a progress bar and a 'Refresh Submission Review' button), 'Analyst Assignment' (with an 'Assign Analyst' dropdown and a 'View Analyst Workload' button), 'Analyst Checklist' (with an 'Add Analyst Checklist' button and a table for tracking checklist completion), and 'Review Fee' (highlighted with a red box). The 'Review Fee' section contains three rows of options: 'Apply Fee For Service?' (Yes/No), 'Waive Fee?' (Yes/No), and 'IRB Review Type?' (dropdown menu). A 'Save Changes' button is located in the top right of this section.

Optional property settings in the iRIS™ system make it possible to issue invoices and capture invoicing history while waiving invoice fees on a case-by-case basis; please see the Waive Fee Option section of this manual for more information.

*Note: Submission Form Type, Invoice Type and Invoice Amount must be configured in the IRB Review Board Administration area in order for the review board to apply a review fee.*

## Invoice History

The **Invoice History** link of the IRB Assistant menu opens the **Board Invoice History** page.



This page is used for tracking and managing invoices associated with “fee applied” submissions.

Open	IRB Number	Ref Number	Type	Date Board Received	IRB Expiration Date	Principal Investigator	Assigned Analyst
	Copy of COI Study IRB-20-305	001006	Initial Review Submission Form	07/29/2020 8:47:44 AM PDT		Alvarenga, Jorge	Coordinator, Jane

## Not Invoiced Tab

By default, the **Board Invoice History** page opens to the **Not Invoiced Tab**. An **Invoice History Filters** panel is provided at the top of the page.

Open	IRB Number	Ref Number	Type	Date Board Received	IRB Expiration Date	Principal Investigator	Assigned Analyst
	Copy of COI Study IRB-20-305	001006	Initial Review Submission Form	07/29/2020 8:47:44 AM PDT		Alvarenga, Jorge	Coordinator, Jane

The **Display Submissions by** dropdown allows for selection by number type (e.g., study/protocol number, IRB Number, etc.).

Text entry fields are provided to search by full or partial **Study Number/Alias**, **Sponsor** name, **Department**, **IRB Number** or **Reference** number.

The **Principal Investigator** (PI) field can be used to search by full or partial PI name and includes a button to toggle the search between **Active** or **Inactive** PIs. As shown below, the button is toggled to **Active** by default.

Click the **Active** button to toggle it to **Inactive**, as shown below.

Note that it is not possible to search by both **Active** and **Inactive** PIs simultaneously.

The **Study Status** dropdown provides a pick list to filter the search by study status. Click an item in the list to select it.

The **Study Classification** dropdown provides a pick list to filter the search by study classification. Click an item in the list to select it.

Select the **Include studies that have not been assigned an IRB number** checkbox to include those studies in the result set.

Calendar widgets are provided for searching by **IRB Expiration Date** range. Available date range options are as follows:

- to limit the search to studies whose **IRB Expiration Date** occurs on or *after* a specific date, click the left-hand calendar widget and select the desired start date; leave the right-hand date field blank
- to limit the search to studies whose **IRB Expiration Date** occurs on or *before* a specific date, click the right-hand calendar widget and select the desired end date; leave the left-hand date field blank
- to limit the search to studies whose **IRB Expiration Date** occurs *between* two specific dates, select the desired start date using the left-hand calendar widget and the end date using the right-hand calendar widget

Use the **IRB Review Type** and **Review Level** dropdown pick lists to limit results by the **Review Level** and / or **IRB Review Type** specified at the time the invoice was configured in the **Setup Board Invoice Type List** page (example shown below, this feature is accessed under Review Board Administration).


My Workspaces ▾ IRB **Setup Board Invoice Type List** [Back](#)



*Invoice Type:	Annual Reviews
*Submission Form:	Continuing Review Submission Form ▾
*Review Level:	--none-- ▾
*IRB Review Type:	--none-- ▾
*Amount:	\$ 1,000.00

Click the **Reset Find Options** button to reset all fields in the **Invoice History Filters** section.


All IRB “apply fee for service” submissions are listed on this page. The **Not Invoiced** tab is loaded by default when the page opens. **Incomplete** and **Complete** tabs are also provided.

The **Not Invoiced** tab lists all “apply fee for service” submissions that have not yet been invoiced.

Click the applicable  icon in the **Open** column for an invoice item to view its details on the **Submission Invoice** page (shown below).

My Workspaces 
IRB
**Submission Invoice**
 Back

Print Friendly Save Changes to Invoice

<b>Projected Invoice Number:</b>	2																
<b>Journal ID:</b>	<input type="text"/>																
<b>Journal Fiscal Year:</b>	<input type="text"/>																
<b>Study Status:</b>	Pending - Submitted for Initial Review																
<b>Review Level:</b>	--none--																
<b>IRB Review Type:</b>	--none--																
<b>Waive Fee?:</b>	<input type="radio"/> Yes <input type="radio"/> No																
<b>Waive Invoice:</b>	<input type="radio"/> Yes <input checked="" type="radio"/> No																
<b>Fee Assessed:</b>	\$3,000.00																
<b>Amount Due:</b>	\$3,000.00																
<b>Amount Received:</b>	\$0.00																
<b>Balance Due:</b>	\$3,000.00																
<b>Invoice Type:</b>	--none--																
<b>Invoice Template:</b>	--none--																
<b>*Complete Date:</b>	<input type="text"/> 																
<b>Complete:</b>	Yes <input type="radio"/> No <input checked="" type="radio"/>																
<b>Comments:</b>	<div style="border: 1px solid #ccc; height: 40px;"></div>																
<b>Invoice Documents:</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Delete</th> <th>Send</th> <th>Edit/View</th> <th>Title</th> <th>Created By</th> <th>Date Sent</th> <th>Copy</th> </tr> </thead> <tbody> <tr> <td colspan="7" style="text-align: center;">No invoice document have been created for this submission.</td> </tr> </tbody> </table>	Delete	Send	Edit/View	Title	Created By	Date Sent	Copy	No invoice document have been created for this submission.								
Delete	Send	Edit/View	Title	Created By	Date Sent	Copy											
No invoice document have been created for this submission.																	
<b>Invoice Payments:</b>	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Delete</th> <th>Edit</th> <th>Amount Received</th> <th>Payment Type</th> <th>Ref #</th> <th>Date Received</th> <th>Date Posted</th> <th>View Payment Doc</th> </tr> </thead> <tbody> <tr> <td colspan="8" style="text-align: center;">No payments have been received.</td> </tr> </tbody> </table>	Delete	Edit	Amount Received	Payment Type	Ref #	Date Received	Date Posted	View Payment Doc	No payments have been received.							
Delete	Edit	Amount Received	Payment Type	Ref #	Date Received	Date Posted	View Payment Doc										
No payments have been received.																	

Data fields and elements on this page include:

**Projected Invoice Number** — next available invoice number to be assigned; after the invoice number is assigned this label changes to **Invoice Number**

**Journal ID** — enter the unique Journal ID number

**Journal Fiscal Year** — enter the fiscal year of the journal in which this invoice was created

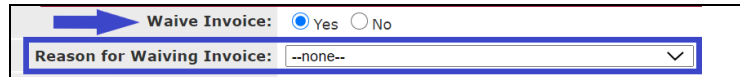
**Study Status** — color coded study status label, read-only field

**Review Level** — select the board review level (e.g., Process Administratively)

**IRB Review Type** — select the board review type (e.g., Full Committee Review)

**Waive Fee? Reason for Waiving Fee?** and **Percentage Waived** fields — optional, property-based fields; see the Waive Fee Option section of this manual, immediately following

**Waive Invoice** — select “Yes” to waive the invoice; this selection populates the **Reason for Waiving Invoice?** field, as shown below



Waive Invoice:  Yes  No  
Reason for Waiving Invoice: --none--

Note that the **Reason for Waiving Invoice** list is configured under Review Board Administration > List Maintenance Setup > Setup Waive Invoice Reason link

**Fee Assessed** — enter the dollar amount of the review service fee

**Amount Due** — enter the dollar amount of the fee to be charged; note that this dollar amount can differ from the **Fee Assessed** dollar value

**Amount Received** — cumulative dollar amount of all payments received for this submission, read-only, automatically calculated by the system

**Balance Due** — remaining balance of fees due for the submission, read-only, automatically calculated by the system

**Invoice Type** — submission type associated with the fee; note that this list is configured under Review Board Administration > System Setup > Setup Invoice Type link

**Invoice Template** — invoice template to be used; note that this list is configured under Review Board Administration > System Setup > Setup Invoice Template link

**Complete Date** — date that the invoice is paid in full; note that in cases where multiple payments are received on the same submission this is the date the final payment is received

**Complete** — select “Yes” to move the invoice item to the **Complete** tab on the **Board Invoice History** screen

**Comments** — click the page icon to the left of the field label to open a text box for entry of any comments for this invoice

**Invoice Documents** — list of invoice documents associated with the submission

**Invoice Payments** — list of “fee for service” payments received on the submission

Verify and update the **Amount Due** and **Invoice Type** fields (if necessary) before creating the invoice document to ensure accuracy.

Click the **Save Changes to Invoice** button to save changes and enable system features used to create invoice documents and add payments for the submission.

Notice that a **Create Invoice Document** button populates above the **Invoice Documents** table (shown in example below) after the **Save Changes to Invoice** button is clicked.

					Create Invoice Document	
Delete	Send	Edit/View	Title	Created By	Date Sent	Copy
No invoice document have been created for this submission.						

Notice that an **Add a New Payment** button populates above the **Invoice Payments** table (shown in example below) after the **Save Changes to Invoice** button is clicked.

								Add a New Payment
Delete	Edit	Amount Received	Payment Type	Ref #	Date Received	Date Posted	View Payment Doc	
No payments have been received.								

Click the **Create Invoice Document** button to generate an invoice against the submission. A pre-configured invoice template opens in Word Online within the browser, as shown below.

When changes to the invoice are complete click the **Save Letter Changes** button to close the text editor and return to the **Submission Invoice** page.

The invoice is added to the **Invoice Documents** table on the **Submission Invoice** page, as shown below.

							Create Invoice Document	
Delete	Send	Edit/View	Title	Created By	Date Sent	Copy		
			Invoice 2 Initial Reviews Version 1	John Smith				

To delete an invoice from the **Invoice Documents** table, click its corresponding icon in the **Delete** column.

To create a routing list for an invoice document and send it, click the icon in the **Send** column for the applicable invoice document. The **Board Invoice Recipients** page opens, as shown below.

My Workspaces
IRB Number: **IRB-21-483**
PI: Investigator, John
IRB

Board Invoice Recipients

Back

Send to selected recipients

Letter Title: Invoice 4 Initial Reviews

### Key Study Personnel

Role	Send if checked	Recipients
Principal Investigator	<input checked="" type="checkbox"/>	John Investigator
Co-Investigator	<input checked="" type="checkbox"/>	Andrew Investigator
Study Author	<input checked="" type="checkbox"/>	John Investigator
Contact	<input checked="" type="checkbox"/>	John Investigator

### Sponsor

Select Sponsor to Add Contact(s): 3-M Pharmaceuticals
Add Contact

Sponsor Name	Send if checked	Sponsor Contact
No sponsor recipients have been added		

### Additional Recipients

Add additional recipients

Association	Send if checked	Additional Recipients
No additional recipients have been added		

All Key Study Personnel are added to the distribution list by default. To add a name to the distribution list, select the corresponding checkbox in the **Send if checked** column. To remove a name to the distribution list, deselect the corresponding checkbox in the **Send if checked** column.

If the study is associated to a sponsor, a **Select Sponsor to Add Contact(s)** dropdown pick list and **Add Contact** button are provided above the **Sponsor** section of the page, as shown below.

Sponsor
Select Sponsor to Add Contact(s): 3-M Pharmaceuticals

Add Contact

Sponsor Name	Send if checked	Sponsor Contact
No sponsor recipients have been added		

Select the applicable sponsor from the pick list, then click the **Add Contact** button. The **Find a Sponsor Contact** popup window opens, providing a tool to search the system for the existing sponsor’s contacts. If records exist for sponsor contacts associated to the study, they are listed here and are selectable.

Find a Sponsor Contact
X

Displayed are the sponsor contacts associated to this study for 3-M Pharmaceuticals

\* click "Add a New Sponsor Contact" to search the master list of existing contacts

Add a New Sponsor Contact

if the contact name does not exist click the "Add a new Contact to the Master List" to create a new contact

Sponsor Contacts associated with this study:

Select	Contact Name	Division	Title	Phone	Email
<input type="checkbox"/>	Sykes, Jeff	Research	Director of Research	909-587-8945	jsykes@3mpharma.org

Cancel
Apply

If no records exist for sponsor contacts associated to the study, click the **Add a New Sponsor Contact** to search the master list of existing business contact records for the institution. The **Find a Sponsor Contact: Search Options** popup window opens, as shown below.

Find a Sponsor Contact: Search Options
X

Sponsor Contact

Browse/Find:

Sponsor Name: 3-M Pharmaceuticals

Last Name:

First Name:

Division:

Find Sponsor Contact

0 result(s) found... 0 - 0

Select	Sponsor Name	Division	First Name	Last Name
No Results found				

Enter desired search criteria in the **Last Name**, **First Name** and/or **Division** filter fields and click the **Find Sponsor Contact** button. Results display beneath the search fields, as shown below.

Find a Sponsor Contact: Search Options
X

Sponsor Contact

Browse/Find:

Sponsor Name: 3-M Pharmaceuticals

Last Name:

First Name:

Division:

Find Sponsor Contact
Add a new Contact to the Master List

3 result(s) found... 1 - 3

Select	Sponsor Name	Division	First Name	Last Name
	3-M Pharmaceuticals	iMedRIS	Jorge	Alvarenga

Click the icon in the **Select** column (shown in screenshot above) for the applicable sponsor contact record in the result list to add that sponsor contact to distribution for the invoice document.

To add a new sponsor contact, click the **Add a new Contact to the Master List** button. The **Sponsor Contact: Details** popup opens for creation of the new contact record, as shown below.

Enter contact details and click the **Save Sponsor Contact Info** button. The contact record is added to the sponsor record and the **Sponsor Contact: Details** popup closes.

*Note: If the study is not associated to a sponsor, it is not possible to add a sponsor contact.*

**Additional Recipients**

Add additional recipients

Association	Send if checked	Additional Recipients
No additional recipients have been added		

To add other system users to distribution for the invoice document, click the **Add additional recipients** button. The **Search User Directory** page opens (shown below).

Check for Multiple	Select User	Training	User Name	Department	Email
<input type="checkbox"/>	<input checked="" type="checkbox"/>		Carmichael, Bob	Pediatric (primary) [+]	

Enter desired search criteria in the **Last Name**, **First Name** or **by Department** fields and click the **Find** button to run the search. Results are listed in a table beneath the search form.

To add one user from the result list to distribution for the invoice document, click the icon in the **Select User** column for the applicable recipient. To add multiple users from the result list to distribution for the invoice document, select the **Check for Multiple** checkbox for each applicable recipient, then click the **Save Selected User(s)** button. The user(s) is/are added to the **Additional Recipients** list (see example screenshot below).

**Additional Recipients**

Add additional recipients

Association	Send if checked	Additional Recipients
Research Admin	<input checked="" type="checkbox"/>	Bob Carmichael

Back on the **Board Invoice Recipients** page, click the **Send to selected recipients** button at the upper right of the page (see screenshot below) to send the invoice document to everyone on the distribution list.

My Workspaces  IRB Number: **IRB-21-483** IRB **Board Invoice Recipients**

Send to selected recipients

Letter Title: Invoice 4 Initial Reviews

**Key Study Personnel**

Role	Send if checked	Recipients
Principal Investigator	<input checked="" type="checkbox"/>	John Investigator
Co-Investigator	<input checked="" type="checkbox"/>	Andrew Investigator

The invoice document is sent, the **Board Invoice Recipients** page closes and the **Submission Invoice** page displays.

Click the **Add a New Payment** button above the **Invoice Payments** section to enter a payment against an invoice.

Invoice Payments:

Delete	Edit	Amount Received	Payment Type	Ref #	Date Received	Date Posted	View Payment Doc
No payments have been received.							

The **Invoice Payment Details** popup opens for entry of payment details, as shown below.

Complete the popup as follows:

**Amount Received** – enter the amount received in dollars and cents

**Date Received** – click the calendar widget and navigate to the date received, then click the date to select it

**Payment Type** – click in the field to open the dropdown list, then click the desired list item to select it

**Ref #** – enter the applicable reference number

**Date Posted** – click the calendar widget and navigate to the date posted, then click the date to select it

**Copy of the Payment** – optionally, click the **Upload** button to upload a copy of the payment record in MS Word, RTF or PDF format

Click the **Save Payment** button to save the payment entry. The **Amount Received** is automatically deducted from the outstanding balance for the invoice.

The payment is added to the **Invoice Payments** table on the **Submission Invoice** page, as shown below.

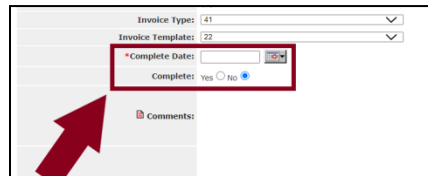
Invoice Payments:		Delete	Edit	Amount Received	Payment Type	Ref #	Date Received	Date Posted	View Payment Doc
				\$1,500.00	Certified Check	1587	07/21/2021	07/21/2021	
1 payment(s) received.									

To delete a payment from the **Invoice Payments** table, click its corresponding icon in the **Delete** column.

Click the icon in the **Edit** column to open the corresponding payment record for editing.

Click the icon in the **View Payment Doc** column to view a read-only version of the payment document supplied for the corresponding payment.

When the cumulative **Amount Received** for the invoice equals the **Balance Due** for the invoice, the invoice is closed. Enter the **Complete Date** and change the default selection of “No” for **Complete** to “Yes” to move the closed invoice to the **Complete** tab.



### Waive Fee Option

It is possible to waive invoice fees and maintain a configurable list of reasons for doing so. These features are property based.

As shown below, six new properties have been added within the **Fee tracking** category under IRB Assistant > Review Board Administration > Board Configuration (red boxes in screenshot below).

My Workspaces ▾ IRB Board **Setup Board Properties** Back

Download Properties Script Save After Edit

**RB Properties List**

- Board Setup
- Submission Review Properties
- Document Processing
- Study Summary Info
- Consent Processing
- Reviewers
- Meeting Settings
- Security
- Fee tracking**
- Multi-site Setup
- Recommendations and Stipulations
- Study Board Properties
- Amendments
- Submission Prereview
- Letter
- Submissions
- Notification
- Internal Routing
- Training

Property Name	Property Value
rb.show_rb_highest_review_level_on_invoice	<input checked="" type="radio"/> Yes <input type="radio"/> No
rb.use_rb_invoicing	<input checked="" type="radio"/> Yes <input type="radio"/> No
rb.use_rb_invoicing_journal_fields_on_invoice	<input checked="" type="radio"/> Yes <input type="radio"/> No
rb.use_rb_invoicing_review_level_on_invoice	<input checked="" type="radio"/> Yes <input type="radio"/> No
rb.use_rb_invoicing_review_type	<input checked="" type="radio"/> Yes <input type="radio"/> No
rb.use_rb_invoicing_review_type_on_invoice	<input checked="" type="radio"/> Yes <input type="radio"/> No
rb.use_rb_invoicing_waive_fee	<input checked="" type="radio"/> Yes <input type="radio"/> No

The new properties are:

rb.show\_rb\_highest\_review\_level\_on\_invoice

rb.use\_rb\_invoicing\_journal\_fields\_on\_invoice

rb.use\_rb\_invoicing\_review\_level\_on\_invoice

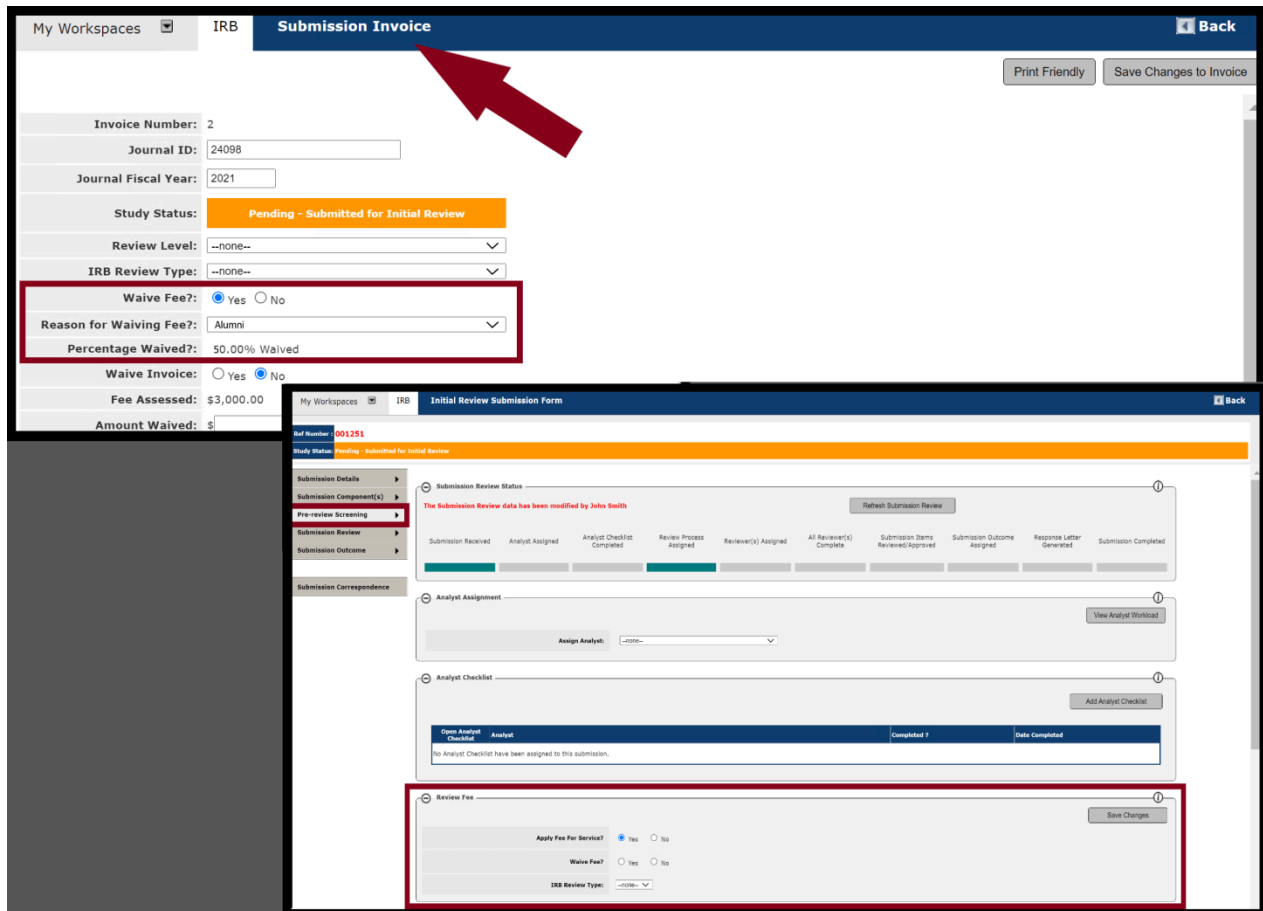
rb.use\_rb\_invoicing\_review\_type

rb.use\_rb\_invoicing\_review\_type\_on\_invoice

rb.use\_rb\_invoicing\_waive\_fee

By default, “No” is selected for all of these properties. Invoicing must be enabled within the module by selecting “Yes” for the rb.use\_rb\_invoicing property in order for the new fields to function, as that property turns the entire invoicing feature off and on.

As demonstrated in the screenshot below, setting ALL SIX (6) properties to “Yes” adds a **Waive Fee Y/N** field, **Reason for Waiving Fee** field and auto-populating **Percentage Waived** field on the **Submission Invoice** page, and entries made there carry through to the submissions **Pre-review Screening** tab, below the **Apply Fee for Service** field.



Note that the **Waive Fee** and **Reason for Waiving Fee** fields remain active on the **Pre-review Screening** tab and can be updated there.

With the properties enabled, new fields populate on the **Submission Invoice** page as follows:

**Waive Fee?** — select “Yes” to waive the fee; this selection populates **Reason for Waiving Fee?** and **Percentage Waived** fields, as shown below

The screenshot shows a form with the following fields:

- IRB Review Type: --none-- (dropdown)
- Waive Fee?:  Yes  No
- Reason for Waiving Fee?: --none-- (dropdown, highlighted with a red box and a red arrow pointing to it)
- Percentage Waived?: 0.00% Waived

**Reason for Waiving Fee?** — click in the field to open a drop down pick list of reasons; click the desired item to select it, automatically populating the associated **Percentage Waived?** field

*Note that the **Reason for Waiving Fee?** picklist is configured under Review Board Administration > List Maintenance Setup > Setup Waive Fee Reason link. When the list is configured a **Percentage Waived** is assigned to each **Reason for Waiving Fee** and is brought in to this page automatically when the associated reason is selected.*

## Incomplete Tab

Invoices with an outstanding balance due remaining are listed on the **Incomplete** tab.

The screenshot shows the 'Incomplete' tab selected. The table has the following columns:

Open	View Details	IRB Number	Ref Number	Type	Principal Investigator	Assigned Analyst	Amount Due	Amount Received	Invoice Type	Date Sent
0 result(s) found...										
No Items To Review!										

Click the icon in the **Open** column to open the associated record in the **Submission Invoice** page (example shown below). This page is where new charges or payments can be applied to the invoice, and the invoice can be marked Complete when applicable.

Notice that this is the same page used when an invoice is initially created from the **Not Invoiced** tab of the **Board Invoice History** page. See the Invoice History > Not Invoiced Tab section of this manual for full details of the **Submission Invoice** page, including processing instructions for **Invoice Documents** and **Invoice Payments**.

## Completed Tab

Recall that when the cumulative **Amount Received** for an invoice equals the **Balance Due** for the invoice, the invoice is closed and moves to the **Completed** tab.

Not Invoiced Incomplete Completed <span style="float: right;">Print Friendly</span>										
1 result(s) found... <span style="float: right;">1 - 1</span>										
Open	View Details	IRB Number	Ref Number	Type	Principal Investigator	Assigned Analyst	Amount Due	Amount Received	Invoice Type	Complete Date
		Salus IRB Demo IRB-20-369	001127	Initial Review Submission Form	Investigator, John	Coordinator, Jane	\$3,000.00	0.00	Initial Reviews	12/02/2020

Click the icon in the **Open** column to open the associated record in the **Submission Invoice** page. See the Invoice History > Not Invoiced Tab section of this manual for full details of **Submission Invoice** page fields and functionality.